

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	2
-------	---	----	---

Complete if Known

Application Number	10/602,761
Filing Date	June 25, 2002
First Named Inventor	Christina Smith
Group Art Unit	2165
Examiner Name	N. Rosen
Attorney Docket Number	000482.00002

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner
Signature**

Nicholas D. Rosen

Date
Considered

March 22, 2005

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

Best Available Copy

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	10/602,761
Filing Date	June 25, 2003
First Named Inventor	Christina Smith
Group Art Unit	2165
Examiner Name	N. Rosen
Attorney Docket Number	000482.00002

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
NDR		Anonymous, "Bits and PCs", PC User, Number 145, Page 119, November 7, 1990	
NDR		Anonymous, "Lead Story: Rocky Road For Micrognosis System At Nomura" Merit Hobbled, Telerate Certification Lags", Trading Systems Technology, Volume 6, Number 11, November 30, 1992	
NDR		Anonymous, "CSK Pushes Real-time Market Data Over The Internet", Multimedia Business Analyst, Number 8, Page 8, February 12, 1997	
NDR		Paulus, "Well Speculated", http://www.slingshot.ie/press/global.htm , August 1997	

Examiner Signature	Nicholas D. Rosen	Date Considered	March 22, 2005
-----------------------	-------------------	--------------------	----------------

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Data Health Monitor For Financial Information Communications Networks						
Application Number:	10/602761						
Confirmation Number:	2338						
First Named Applicant:	Christina Smith						
Attorney Docket Number:	000482.00002						
Art Unit:	2165						
Search string:	(3801961 or 4371871 or 4584643 or 4710926 or 4745559 or 4750135 or 4807224 or 4868866 or 5077665 or 5136501 or 5187787 or 5195031 or 5257369 or 5313461 or 5396587 or 5442637 or 5471629 or 5483631 or 5485455 or 5491780 or 5519704 or 5541927 or 5557798 or 5577608 or 5581703 or 5581704 or 5590269 or 5617522 or 5617541 or 5631907 or 5634004 or 5694608 or 5701465 or 5704042 or 5706435 or 5706510 or 5794206 or 5799318 or 5826253 or 5835910 or 5838939 or 5925105 or 5943675 or 5946689 or 5982890 or 5995981 or 6006206 or 6078930 or 6085244 or 6112015 or 6292828 or 6587840 or 5793366).pn.						
RECEIVED AUG 26 2003 GROUP 3600							
US Patent Documents							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents.							
Init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
WDR	1	3801961	1974-04-02	Coombe			345/23
WDR	2	4371871	1983-02-01	Adams			340/825.26
WDR	3	4584643	1986-04-22	Halpern et al.			709/248
WDR	4	4710926	1987-12-01	Brown et al.			714/4
WDR	5	4745559	1988-05-17	Willis et al.			705/37
WDR	6	4750135	1988-06-07	Boilen			709/231
WDR	7	4807224	1989-02-21	Naron et al.			370/218
WDR	8	4868866	1989-09-19	Williams, Jr.			707/9
WDR	9	5077665	1991-12-31	Silverman et al.			705/37

NDR	10	5136501	1992-08-04	Silverman et al.
NDR	11	5187787	1993-02-16	Skeen et al.
NDR	12	5195031	1993-03-16	Ordish
NDR	13	5257369	1993-10-26	Skeen et al.
NDR	14	5313461	1994-05-17	Ahl et al.
NDR	15	5396587	1995-03-07	Reed et al.
NDR	16	5442637	1995-08-15	Nguyen
NDR	17	5471629	1995-11-28	Risch
NDR	18	5483631	1996-01-09	Nagai et al.
NDR	19	5485455	1996-01-16	Dobbins et al.
NDR	20	5491780	1996-02-13	Fyles et al.
NDR	21	5519704	1996-05-21	Farinacci et al.
NDR	22	5541927	1996-07-30	Kristol et al.
NDR	23	5557798	1996-09-17	Skeen et al.
NDR	24	5577608	1996-11-26	Hanson et al.
NDR	25	5581703	1996-12-03	Baugher et al.
NDR	26	5581704	1996-12-03	Barbara et al.
NDR	27	5590269	1996-12-31	Kruse et al.
NDR	28	5617522	1997-04-01	Peltier
NDR	29	5617541	1997-04-01	Albanese et al.
NDR	30	5631907	1997-05-20	Guameri et al.
NDR	31	5634004	1997-05-27	Gopinath et al.
NDR	32	5694608	1997-12-02	Shostak
NDR	33	5701465	1997-12-23	Baugher et al.
NDR	34	5704042	1997-12-30	Hester et al.
NDR	35	5706435	1998-01-06	Barbara et al.
NDR	36	5706510	1998-01-06	Burgoon
NDR	37	5794206	1998-08-11	Wilkinson et al.
NDR	38	5799318	1998-08-25	Cardinal et al.
NDR	39	5826253	1998-10-20	Bredenberg
NDR	40	5835910	1998-11-10	Kavanagh et al.
NDR	41	5838938	1998-11-17	Morgan
NDR	42	5925105	1999-07-20	Hales, II et al.
NDR	43	5943675	1999-08-24	Keith et al.
NDR	44	5946689	1999-08-31	Yanaka et al.
NDR	45	5982890	1999-11-09	Akatsu

705/37

719/314

705/37

719/312

370/349

715/503

714/708

707/201

715/736

370/255

715/733

370/402

370/408

705/35

206/386

709/225

711/mi

705/9

715/763

709/207

370/474

710/317

715/506

707/10

709/204

711/141

707/203

705/1

707/101

707/2

707/103R

715/723

709/233

707/201

707/10

707/200

<i>NR</i>	46	5995981	1999-11-30	Wikstrom..
<i>NR</i>	47	6006206	1999-12-21	Smith et al
<i>NR</i>	48	6078930	2000-06-20	Lee et al.
<i>NR</i>	49	6085244	2000-07-04	Wookey
<i>NR</i>	50	6112015	2000-08-29	Planas et al.
<i>NR</i>	51	6292828	2001-09-18	Williams
<i>NR</i>	52	6587840	2003-07-01	Smith et al.
<i>NR</i>	53	5793366	1998-08-11	Mano et al.

707/202

705/35

707/202

709/224

709/223

709/218

705/35

715/839

Remarks

Note: Remarks are not for responding to an office action.

Pursuant to 37 C.F.R. 1.56 and in compliance with 37 C.F.R. 1.97, Applicants submit the above information for consideration by the Examiner. Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as a reference against the claims of the present application. Consideration of the cited information and making the same of record in the prosecution of the referenced application are respectfully requested. Should the Patent and Trademark Office determine that a fee is required, please charge our Deposit Account 19-0733.

Signature

Examiner Name	Date
<i>Nicholas P. Roan</i>	<i>March 22, 2005</i>